

第十七讲

现在考虑含 ϕ^4 项 in LG:

$$F[\phi] = \int d^d x \left[\underbrace{\frac{1}{2} \nabla \phi \cdot \nabla \phi + \frac{1}{2} r_0 \phi^2}_{F_0} + \underbrace{g_0 \phi^4}_{F_I} \right] = \int_0^1 \frac{d^d k}{(2\pi)^d} \left[\frac{1}{2} (k^2 + r_0) |\phi_k|^2 \right] + g_0 \left(\prod_{i=1}^4 \int \frac{d^d k_i}{(2\pi)^d} \phi_{k_1} \phi_{k_2} \phi_{k_3} \phi_{k_4} (2\pi)^d \delta\left(\sum_i k_i\right) \right)$$

(1). 粗粒化: $\phi_k = \phi_k^- + \phi_k^+$

$$F[\phi] = F_0[\phi^-] + F_0[\phi^+] + F_I[\phi^-, \phi^+]$$

$$\text{其中 } F_0 = \int \frac{d^d k}{(2\pi)^d} \frac{1}{2} (k^2 + r_0) \phi_k \phi_k = \int_{\frac{1}{2}^d} \frac{d^d k}{(2\pi)^d} \frac{1}{2} (k^2 + r_0) \phi_k^- \phi_k^- + \int_{\frac{1}{2}^d} \frac{d^d k}{(2\pi)^d} \frac{1}{2} (k^2 + r_0) \phi_k^+ \phi_k^+$$

$$F_I = \int d^d x g_0 \phi^4 = \int \prod_{i=1}^4 \frac{d^d k_i}{(2\pi)^d} g_0 \phi_{k_1} \phi_{k_2} \phi_{k_3} \phi_{k_4} (2\pi)^d \delta(k_1 + k_2 + k_3 + k_4)$$

$$Z = \int \prod_{k < \Lambda} \phi_k e^{-F} = \int \prod_{k < \Lambda/2} d\phi_k^- \left[e^{-F_0[\phi^-]} \cdot \int \prod_{k < \Lambda/2} d\phi_k^+ e^{-F_0[\phi^+] - F_I[\phi^-, \phi^+]} \right] = \int \prod_{k < \Lambda/2} d\phi_k^- e^{-F'[\phi^-]}$$

$$e^{-F'[\phi^-]} = e^{-F_0[\phi^-]} \cdot \frac{\int d\phi_k^+ e^{-F_0[\phi_k^+]} \cdot e^{-F_I[\phi_k^-, \phi_k^+]}}{\int d\phi_k^+ e^{-F_0[\phi_k^+]}}$$

$$= e^{-F_0[\phi_k^-]} \langle e^{-F_I[\phi_k^-, \phi_k^+]} \rangle_+ e^{-A}$$

于是:

$$F'[\phi^-] = F_0[\phi_k^-] - \ln \langle e^{-F_I[\phi_k^-, \phi_k^+]} \rangle_+ - A$$

$$\ln \langle e^{-F_I} \rangle_+ = -\langle F_I \rangle_+ + \frac{1}{2} [\langle F_I^2 \rangle_+ - \langle F_I \rangle_+^2] + \dots$$

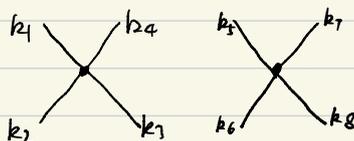
累级展开.

$$F'[\phi] = F_0[\phi] + \langle F_I \rangle_+ - \frac{1}{2} [\langle F_I^2 \rangle_+ - \langle F_I \rangle_+^2] + \dots - A$$

下面计算 $-\frac{1}{2} (\langle F_I^2 \rangle_+ - \langle F_I \rangle_+^2)$ 对 $F'[\phi]$ 的贡献.

从坐标空间看: $F_I^2 = \int d^d x d^d y g_0^2 \phi^4(x) \phi^4(y)$,

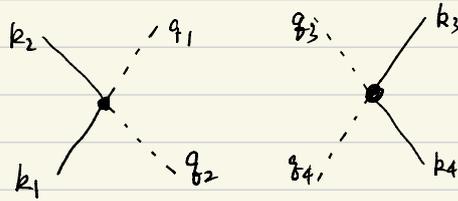
$$= g_0^2 \prod_{i=1}^8 \int \frac{d^d k_i}{(2\pi)^d} \phi_{k_1} \phi_{k_2} \phi_{k_3} \phi_{k_4} (2\pi)^d \delta\left(\sum_{i=1}^4 k_i\right) \phi_{k_5} \phi_{k_6} \phi_{k_7} \phi_{k_8} (2\pi)^d \delta\left(\sum_{j=5}^8 k_j\right)$$



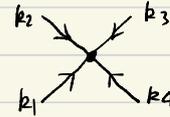
每个 $\phi_k = \phi_k^- + \phi_k^+$, 共有 $2^8 = 256$ 种情况

考虑下面这种情况

$$g_0^2 \int_0^1 \prod_{i=1}^4 \frac{d\vec{k}_i}{(2\pi)^d} \phi_{\vec{k}_i}^- \int_{\mathcal{N}_3} \prod_{j=1}^4 \frac{d\vec{q}_j}{(2\pi)^d} \langle \phi_{\vec{q}_1}^+ \phi_{\vec{q}_2}^+ \phi_{\vec{q}_3}^+ \phi_{\vec{q}_4}^+ \rangle + (2\pi)^{2d} \delta^d(\vec{k}_1 + \vec{k}_2 + \vec{q}_1 + \vec{q}_2) \delta^d(\vec{q}_3 + \vec{q}_4 + \vec{k}_3 + \vec{k}_4)$$



⇒ 对 $g_0 \int d^d x \phi^4$ 有贡献.



关键: 计算 $\langle \phi_{\vec{k}_1}^+ \phi_{\vec{k}_2}^+ \phi_{\vec{k}_3}^+ \phi_{\vec{k}_4}^+ \rangle$, 利用 Wick's theorem

引理: 对 n 个高斯变量 ϕ_a ,

$$\langle f(\phi) \rangle = \frac{1}{N} \int_{-\infty}^{\infty} d^n \phi f(\phi) e^{-\frac{1}{2} \phi \cdot G^{-1} \cdot \phi}$$

$$G \text{ 是 } n \times n \text{ 可逆实对称矩阵, } N = [\det(2\pi G)]^{\frac{1}{2}}$$

那么对任意常数 B^a , 有

$$\langle e^{B_a \phi_a} \rangle = e^{\frac{1}{2} B_a \langle \phi_a \phi_b \rangle B_b} \quad (1)$$

证明: 由广义高斯积分. (参见第11讲)

$$\langle e^{B_a \phi_a} \rangle = e^{\frac{1}{2} B_a G_{ab} B_b}$$

$$\text{定义 } Z = \int d^n \phi e^{-\frac{1}{2} \phi G^{-1} \phi - B_a \phi_a} \Rightarrow \langle \phi_a \phi_b \rangle = \frac{\partial^2}{\partial B_a \partial B_b} \ln Z \Big|_{B=0} \Rightarrow \langle \phi_a \phi_b \rangle = G_{ab}$$

$$\therefore \langle e^{B_a \phi_a} \rangle = e^{\frac{1}{2} B_a \langle \phi_a \phi_b \rangle B_b}$$

Taylor 展开 (1) 式.

$$\langle e^{B_a \phi_a} \rangle = 1 + B_a \langle \phi_a \rangle + \frac{1}{2} B_a B_b \langle \phi_a \phi_b \rangle + \frac{1}{3!} B_a B_b B_c \langle \phi_a \phi_b \phi_c \rangle + \frac{1}{4!} B_a B_b B_c B_d \langle \phi_a \phi_b \phi_c \phi_d \rangle + \dots$$

$$e^{\frac{1}{2} B_a \langle \phi_a \phi_b \rangle B_b} = 1 + \frac{1}{2} B_a B_b \langle \phi_a \phi_b \rangle + \frac{1}{8} B_a B_b B_c B_d \langle \phi_a \phi_b \phi_c \phi_d \rangle + \dots$$

对比左右两端同幂次项,

$$\langle \phi_{a_1} \phi_{a_2} \dots \phi_{a_l} \rangle = 0, \text{ 对 } l \text{ odd.} \quad (2)$$

再看偶次幂项:

$$\langle \phi_a \phi_b \phi_c \phi_d \rangle = \langle \phi_a \phi_b \rangle \langle \phi_c \phi_d \rangle + \langle \phi_a \phi_c \rangle \langle \phi_b \phi_d \rangle + \langle \phi_a \phi_d \rangle \langle \phi_b \phi_c \rangle$$

$$\frac{1}{24} \sum_{abcd} B_a B_b B_c B_d \langle \phi_a \phi_b \phi_c \phi_d \rangle$$

$$\begin{aligned} \text{与 } \frac{1}{8} B_a B_b B_c B_d \langle \phi_a \phi_b \rangle \langle \phi_c \phi_d \rangle &= \frac{1}{8} \cdot \frac{1}{3} \left(\sum_{ab} B_a \langle \phi_a \phi_b \rangle B_b \sum_{cd} B_c \langle \phi_c \phi_d \rangle B_d + \sum_{ac} B_a \langle \phi_a \phi_c \rangle B_c \sum_{bd} B_b \langle \phi_b \phi_d \rangle B_d \right. \\ &\quad \left. + \sum_{ad} B_a \langle \phi_a \phi_d \rangle B_d \sum_{bc} B_b \langle \phi_b \phi_c \rangle B_c \right) \\ &= \frac{1}{24} B_a B_b B_c B_d \left[\langle \phi_a \phi_b \rangle \langle \phi_c \phi_d \rangle + \langle \phi_a \phi_c \rangle \langle \phi_b \phi_d \rangle + \langle \phi_a \phi_d \rangle \langle \phi_b \phi_c \rangle \right] \end{aligned}$$

可见:

$$\langle \phi_{a_1} \phi_{a_2} \dots \phi_{a_{2l}} \rangle = \langle \phi_{a_1} \phi_{a_2} \rangle \dots \langle \phi_{a_{2l-1}} \phi_{a_{2l}} \rangle + \dots \quad (\text{共 } (2l-1)!! \text{ 项})$$

这就是 Wick's theorem.

• 利用 Wick 定理来计算 $\langle \phi_{q_1}^+ \phi_{q_2}^+ \phi_{q_3}^+ \phi_{q_4}^+ \rangle$

$$\langle \phi_{q_1}^+ \phi_{q_2}^+ \phi_{q_3}^+ \phi_{q_4}^+ \rangle = \langle \phi_{q_1}^+ \phi_{q_2}^+ \rangle \langle \phi_{q_3}^+ \phi_{q_4}^+ \rangle + \langle \phi_{q_1}^+ \phi_{q_3}^+ \rangle \langle \phi_{q_2}^+ \phi_{q_4}^+ \rangle + \langle \phi_{q_1}^+ \phi_{q_4}^+ \rangle \langle \phi_{q_2}^+ \phi_{q_3}^+ \rangle$$

$$\text{已知 } \langle \phi_q^+ \phi_{q'}^+ \rangle = (2\pi)^d \delta^d(\frac{q}{2} + \frac{q'}{2}) G_0(\frac{q}{2}) \quad \text{--- (A)}$$

分类讨论: 注意: non delta functions 与 所有 δ functions 的组合.

$$\int \prod_{j=1}^4 d^d q_j \langle \phi_{q_1}^+ \phi_{q_2}^+ \rangle \langle \phi_{q_3}^+ \phi_{q_4}^+ \rangle \delta^d(k_1 + k_2 + q_1 + q_2) \delta^d(k_3 + k_4 + q_3 + q_4) \quad \text{利用 (A)}$$

$$\sim \int d^d q_2 d^d q_4 G_0(q_2) G_0(q_4) \delta^d(k_1 + k_2) \delta^d(k_3 + k_4)$$



这是一个 nonlocal 的积分, 最终被 $\langle F_I \rangle$ 中相应项消去. $\rightarrow \langle F_I \rangle^{---} \cdot \langle F_I \rangle^{---}$

• 有意思的是 $\langle \phi_{q_1}^+ \phi_{q_3}^+ \rangle \langle \phi_{q_2}^+ \phi_{q_4}^+ \rangle$ 与 $\langle \phi_{q_1}^+ \phi_{q_4}^+ \rangle \langle \phi_{q_2}^+ \phi_{q_3}^+ \rangle$



$$\int \prod_{j=1}^4 d^d q_j \langle \phi_{q_1}^+ \phi_{q_2}^+ \rangle \langle \phi_{q_3}^+ \phi_{q_4}^+ \rangle \delta^d(k_1 + k_2 + q_1 + q_2) \delta^d(k_3 + k_4 + q_3 + q_4) \quad \text{利用 (A)}$$

$$\sim \int d^d q_1 d^d q_2 G_0(q_1) G_0(q_2) \delta^d(k_1 + k_2 + q_1 + q_2) \delta^d(k_3 + k_4 - q_1 - q_2) \sim \int d^d q G_0(q) G_0(|k_1 + k_2 + q|) \delta^d(k_1 + k_2 + k_3 + k_4)$$

公式中只有一个 δ function, 给出空空间对 free energy 的 local 贡献. 不会被 $\langle F_I \rangle^2$ 消去: 没有这样的图.

计算 $\frac{1}{2} \langle F_I^2 \rangle$ 中这一项: $(\frac{4}{2})^2 g_0^2 \int_0^{1/\Lambda} \left[\prod_{i=1}^4 \frac{d^d k_i}{(2\pi)^d} \phi_{k_i}^- \right] f(k_1+k_2) (2\pi)^d \delta^d(\sum_{i=1}^4 k_i)$ (2)

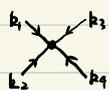
• 取 $\frac{1}{2} \rightarrow 1$, 由 Wick 定理贡献两项.

• $f(k_1+k_2) = \int \frac{d^d q}{(2\pi)^d} \frac{1}{q^2 + v_0} \frac{1}{(k_1+k_2+q)^2 + v_0} = \int \frac{d^d q}{(2\pi)^d} \frac{1}{(q^2 + v_0)^2} (1 + O(\vec{k}_1, \vec{k}_2))$

用到:

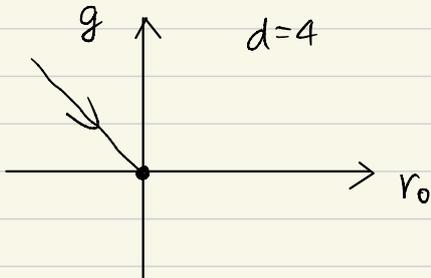
$$\frac{1}{(k_1+k_2+q)^2 + v_0} = \frac{1}{(k_1+k_2)^2 + 2(k_1+k_2) \cdot q + q^2 + v_0} = \frac{1}{q^2 + v_0} \cdot \frac{1}{1 + \frac{(k_1+k_2)^2 + 2(k_1+k_2) \cdot q}{q^2 + v_0}}$$

• 所有依赖外部动量和 v_0 的项都会生成形如 $k^2(\phi)^4 \sim (\phi)^2 \nabla^2(\phi)^2$ 的项. 属于非无关.

• (2) 是我们需要的, 效果: 

$$g_0 \rightarrow g'_0 = g_0 - 36 g_0^2 \int \frac{d^d q}{(2\pi)^d} \frac{1}{(q^2 + v_0)^2}$$

至关重要: 决定 $d=4$ 时 $g\phi^4$ 项的命运: $d \geq 4$ 时, 由于 rescale: $g' = \zeta^{4-d} g_0$, 上式表明 g' 减小



g_0 RG flow 非常慢, 是 marginally irrelevant

很多小情况都是这样: 在考虑 perturbative correction 之后, marginal 变成 marginally irrelevant 或 marginally relevant

Kondo effect and non-Abelian gauge theory

§ Feynman diagrams

随着展开阶数的升高, 可能的项数开始暴涨.

$$F[\phi] = F_0[\phi] + \langle F_I \rangle_4 - \frac{1}{2} [\langle F_I^2 \rangle_4 - \langle F_I \rangle_4^2] + \dots$$

假设要计算上式中形如 $g_0^p (\phi)^n (\phi')^l$ 的项, 可以将其表成 Feynman diagrams.

规则

• each $\phi_{\bar{k}}$ 由实外线表示

• each ϕ_k^+ 由 dotted line 表示

• dotted lines 相互联接形成内圈. 按所有可能配对, 又依 Wick 定理收缩. 没有单独的 dotted line $\therefore l$ even

• 每个 g_0 因子由一个 vertex 表示 (四条线相汇)

• 每条线有一个动量相伴, which is conserved as we move around the diagram.

每个圈定标是一个积分的简写. 字里如下:

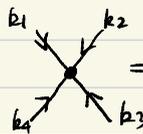
• 每条内部线对应插入一个传播子 $\langle \phi_{\bar{k}}^+ \phi_{\bar{k}'}^+ \rangle_4 = (2\pi)^d \delta^d(\bar{k} + \bar{k}') G_0(k)$

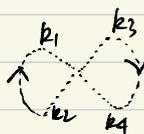
• 每个内部圈, 对应一个积分 $\int \frac{d^d k}{(2\pi)^d}$

• 每个 vertex 给出一个 power of $g_0 (2\pi)^d \delta^d(\sum_i \bar{k}_i)$, $\delta \rightarrow$ 动量守恒, 约定 all momenta 都是 incoming

• symmetry factors \rightarrow 数值系数

最终得到 $F[\phi]$ 中 $g_0^p (\phi)^n \rightarrow n$ 个外线, p 个顶角.

at order g_0 , $g_0 \phi_{\bar{k}_1}^- \phi_{\bar{k}_2}^- \phi_{\bar{k}_3}^- \phi_{\bar{k}_4}^- \rightarrow$  $= g_0 \int \prod_{i=1}^4 \frac{d^d k_i}{(2\pi)^d} (2\pi)^d \delta^d(\sum k_i) = g_0 \int d^d x (\phi)^4 \times 1$ tree diagram

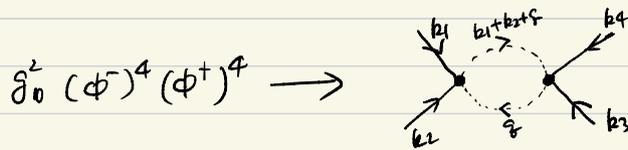
$g_0 \phi_{\bar{k}_1}^+ \phi_{\bar{k}_2}^+ \phi_{\bar{k}_3}^+ \phi_{\bar{k}_4}^+ \rightarrow$  $= g_0 \int \frac{d^d k_1}{(2\pi)^d} \int \frac{d^d k_2}{(2\pi)^d} G_0(k_1) G_0(k_2) (2\pi)^d \delta^d(k_1 + k_2) \times 1 = \text{常数}$

$g_0 \phi_{\bar{k}_1}^- \phi_{\bar{k}_2}^- \phi_{\bar{k}_3}^+ \phi_{\bar{k}_4}^+ \rightarrow$  $= 6 g_0 \int \frac{d^d k}{(2\pi)^d} \frac{1}{q^2 + r_0} \int \frac{d^d k'}{(2\pi)^d} \phi_{\bar{k}}^- \phi_{\bar{k}'}^- \rightarrow g' \int d^d x (\phi)^2$

loop diagram

(5)

at order g_0^2 :



对称因子 $(C_4)^2 = 36$.

两条内线形成一个 loop: $\int \frac{d^d q}{(2\pi)^d} \frac{1}{v_0 + q^2} \cdot \frac{1}{v_0 + (q + k_1 + k_2)^2} = f(k_1, k_2)$

两个 δ + 两个传播子:

$$(2\pi)^d \delta(k_1 + k_2 + q_1 + q_2) \cdot (2\pi)^d \delta(k_3 + k_4 - q_1 - q_2) = (2\pi)^{2d} \delta(k_1 + k_2 + k_3 + k_4) \delta(q_2 - (q_1 + k_1 + k_2))$$

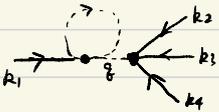
最终结果 $\rightarrow \binom{4}{2}^2 g_0^2 \int_0^{1/2} \left[\prod_{i=1}^4 \frac{d^d k_i}{(2\pi)^d} \phi_{k_i}^- \right] f(k_1 + k_2) (2\pi)^d \delta^d\left(\sum_{i=1}^4 k_i\right) \rightarrow g_0^2 \int (\phi)^4 dx$

由于 $F[\phi] = F_0[\phi] + \langle F_I \rangle_4 - \frac{1}{2} [\langle F_I^2 \rangle_4 - \langle F_I \rangle_4^2] + \dots$ 的幂级展开性质:

· 只需考虑 *connected graphs*. $\langle F_I^2 \rangle_4$ 中 与 $\langle F_I \rangle_4^2$ *cancel*.

· Quantum Field theory 语言: 视 Feynman diagrams 中的线为粒子的世界线

More diagrams



vanishing, 一个 ϕ^- 动量要等于中间的 ϕ^+ 传播子的动量, 但这是不可能 ϕ^- 与 ϕ^+ 的动量不相等