

**Beijing Normal University Mini Course on
Quantum Monte Carlo Simulations
of Spin Systems**

Dec 2020 - Jan 2021

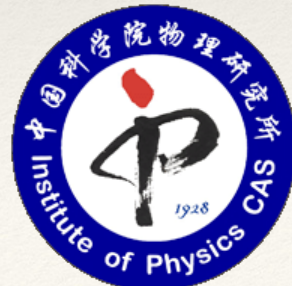
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SIMONS FOUNDATION



Lecture plan

- 1: Intro to quantum magnetism and QMC simulations**
- 2: Stochastic series expansion QMC ($S=1/2$ Heisenberg, TFIM)**
- 3: Ground-state projection QMC, valence-bond basis**
- 4: Quantum criticality, finite-size scaling, phenomenological RG**
- 5,6: Deconfined quantum criticality, emergent symmetries**
- 7: Seminar on recent developments**

Supporting lectures by Hui Shao

- A: Emergent $U(1)$ symmetry in classical and quantum clock models**
- B: Dynamics from QMC - analytic continuation**

Reading material: I will provide a list

Questions: Ask anytime! Write on chat also OK, someone will monitor

Brief introduction to quantum magnetism and quantum phase transitions

[arXiv:1101.3281](https://arxiv.org/abs/1101.3281) [[pdf](#), [ps](#), [other](#)] [cond-mat.str-el](#) [hep-lat](#) [doi](#)

Computational Studies of Quantum Spin Systems

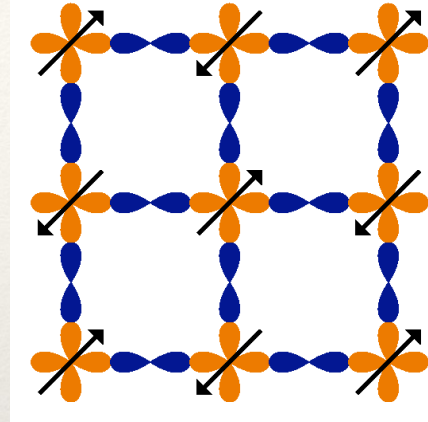
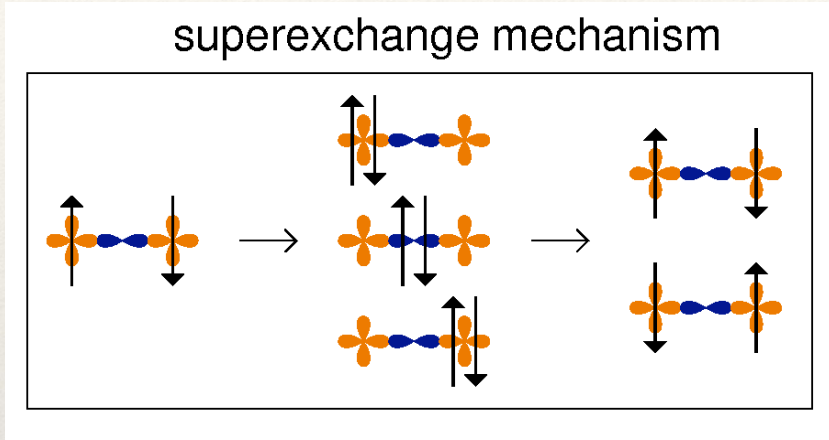
Heisenberg model

- large U/t in Hubbard model

→ few doubly-occupied sites, insulator

Half-filling → $S=1/2$ Heisenberg antiferromagnet:

$$H = -t \sum_{\sigma} \sum_{\langle i,j \rangle} (c_{\sigma i}^{\dagger} c_{\sigma j} + c_{\sigma j}^{\dagger} c_{\sigma i}) + U \sum_i n_{\uparrow i} n_{\downarrow i}$$



$$H = J \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j$$

Many variants of Heisenberg model motivated by materials

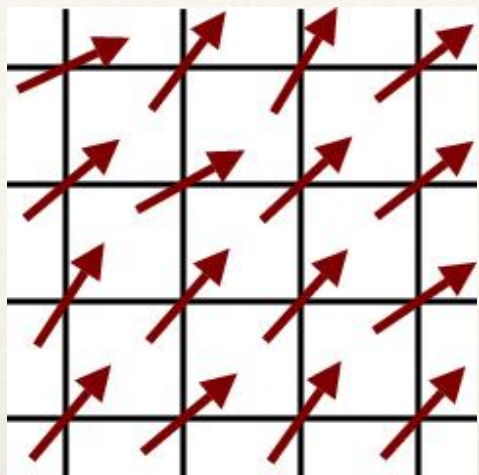
● Cu ($S = 1/2$)
● Zn ($S = 0$)

Ladder systems
- even/odd effects

non-magnetic impurities/dilution
- dilution-driven phase transition

Nature of ground state (ordered vs disordered), excitations,...

Quantum versus classical antiferromagnets



Starting point: Heisenberg model

$$\mathbf{H} = J \sum_{\langle i,j \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + \mathbf{g} \times \dots$$

- nearest-neighbor interactions ($J > 0$)
- extend by longer-range or multi-spin couplings
- maintain spin-rotation invariance

Consider 2 spins:

- Classical ($S = \infty$) ground state is any anti-parallel configuration
- $S = 1/2$ (extreme quantum) is a singlet (singlet-triplet gap = J)

$$= \frac{|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle}{\sqrt{2}}$$

Extended quantum magnets ($N \rightarrow \infty$) can have aspects of

- classical-like antiferromagnetic order
- non-classical effects can some times be understood using singlets

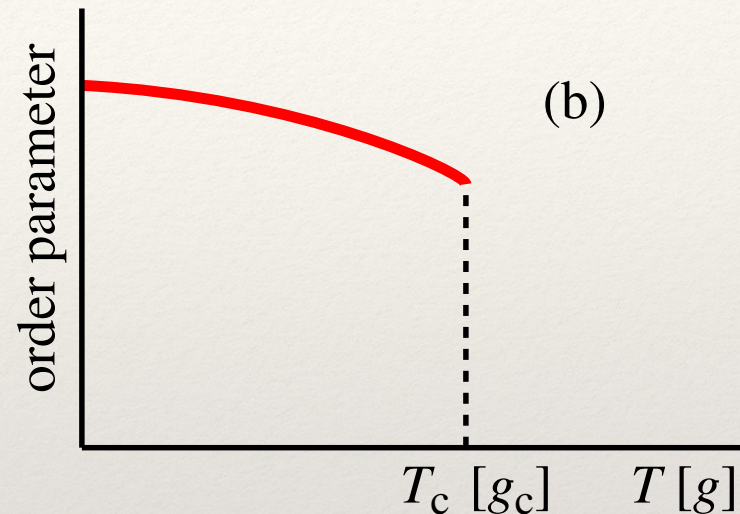
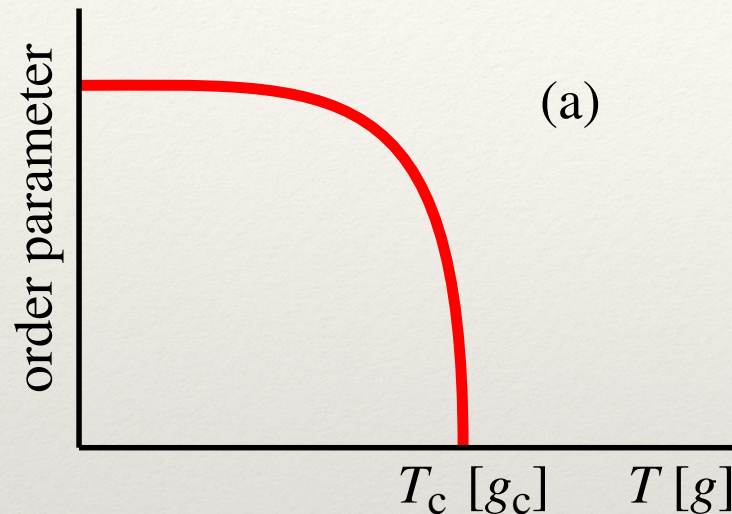
Classical and quantum phase transitions

Classical (thermal) phase transition

- Fluctuations regulated by temperature $T > 0$

Quantum (ground state, $T=0$) phase transition

- Fluctuations regulated by parameter g in Hamiltonian



In both cases phase transitions can be

- first-order (discontinuous): **finite correlation length ξ** as $g \rightarrow g_c$ or $g \rightarrow g_c$
- continuous: correlation length diverges, $\xi \sim |g - g_c|^{-\nu}$ or $\xi \sim |T - T_c|^{-\nu}$

There are many similarities between classical and quantum transitions

- and also important differences

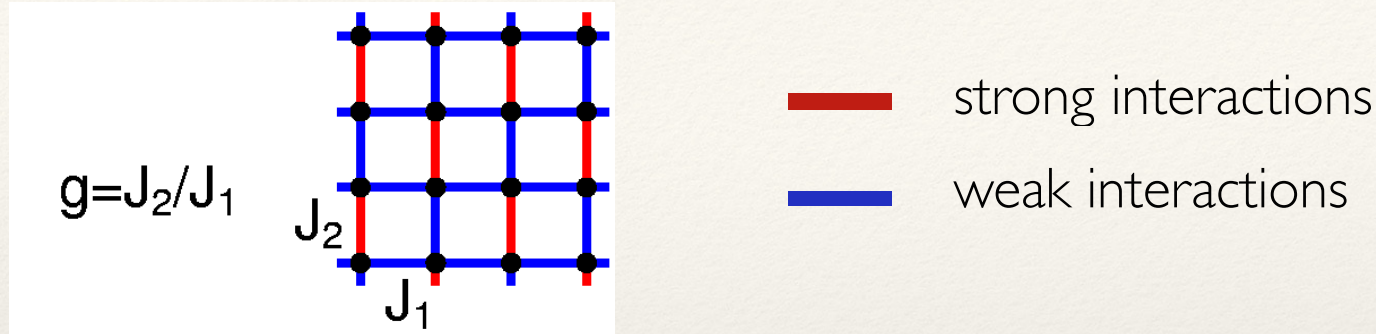
The **quantum phases (ground states)** can also be highly non-trivial

- even with rather simple lattice models

Example: Néel-paramagnetic quantum phase transition

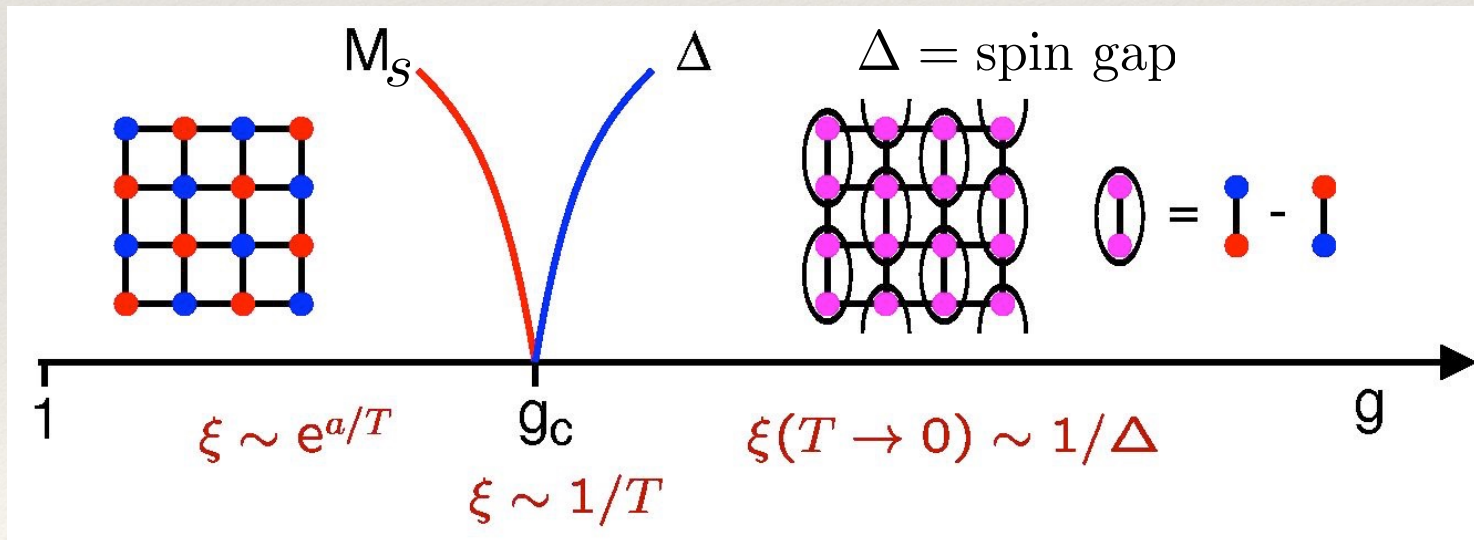
Dimerized $S=1/2$ Heisenberg models

- every spin belongs to a dimer (strongly-coupled pair)
- many possibilities, e.g., bilayer, dimerized single layer



Singlet formation on strong bonds \rightarrow Néel - quantum-paramagnetic transition

Ground state ($T=0$) phases



\Rightarrow 3D classical Heisenberg (O3) universality class; QMC confirmed

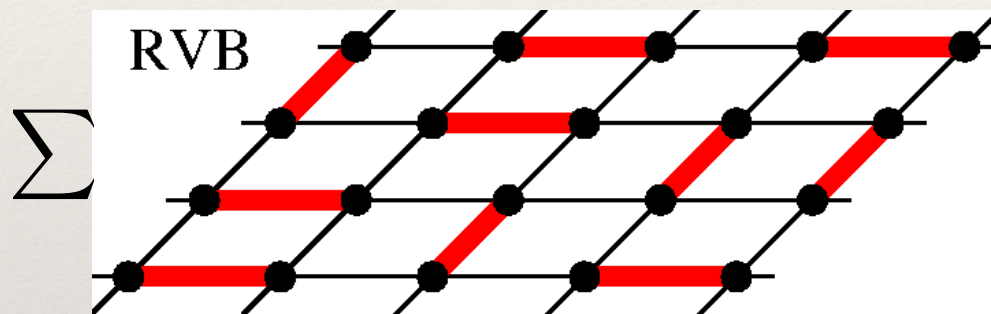
Experimental realization (3D coupled-dimer system): TiCuCl_3

More complex non-magnetic states; systems with 1 spin per unit cell

$$\mathbf{H} = J \sum_{\langle i,j \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + g \times \dots$$

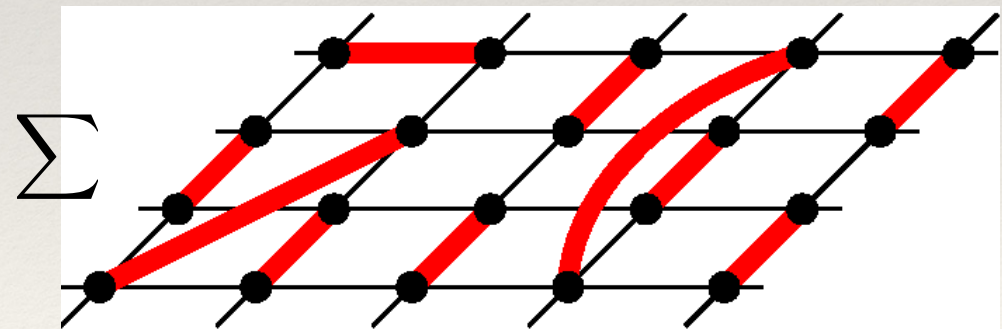
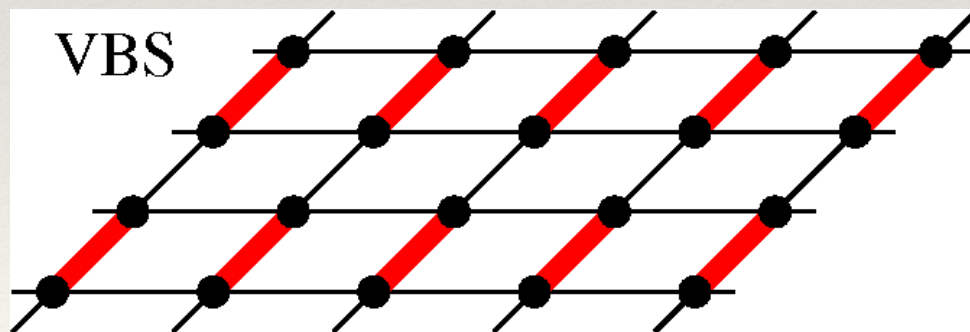
- highly non-trivial non-magnetic ground states are possible, e.g.,
 - ➔ resonating valence-bond (RVB) spin liquid
 - ➔ valence-bond solid (VBS)

Non-magnetic states often have natural descriptions with **valence bonds**



$$\begin{array}{c} \bullet \\ \diagup \quad \diagdown \\ i \quad j \end{array} = (\uparrow_i \downarrow_j - \downarrow_i \uparrow_j) / \sqrt{2}$$

The basis including bonds of all lengths is **overcomplete** in the singlet sector



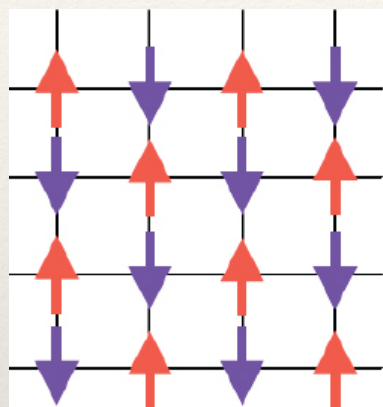
- non-magnetic states dominated by short bonds

Frustrated quantum spins

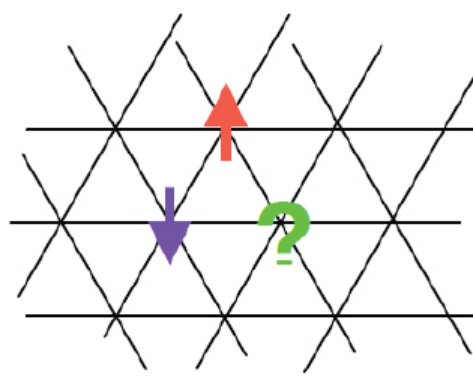
Competing antiferromagnetic interactions

- structure of ground state can be highly non-trivial
- “spin liquid”, other non-trivial quantum paramagnets

Ising spins: \uparrow, \downarrow



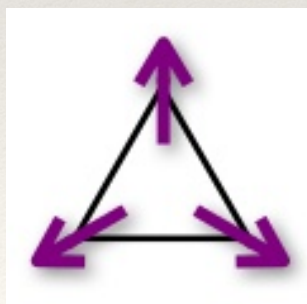
bipartite
un-frustrated



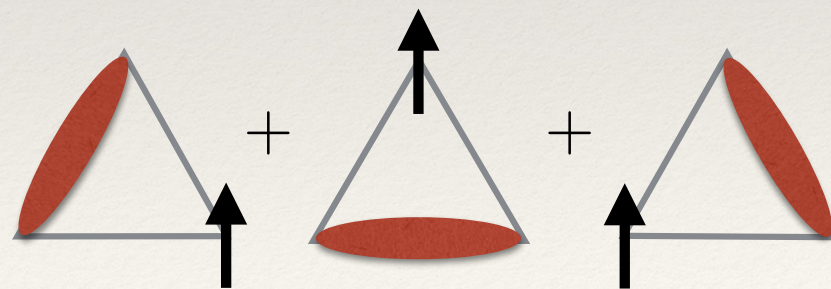
non-bipartite
frustrated

Even classical spin models (Ising, XY, Heisenberg) can be highly non-trivial when the interactions are frustrated

Be careful with classical pictures and intuition:



classical Heisenberg



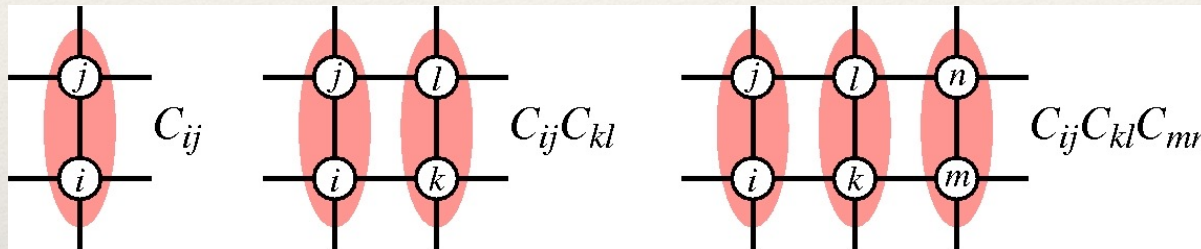
Quantum $S=1/2$ Heisenberg

Other types of competing interactions: J-Q models

The Heisenberg interaction is equivalent to a singlet-projector

$$C_{ij} = \frac{1}{4} - \vec{S}_i \cdot \vec{S}_j$$

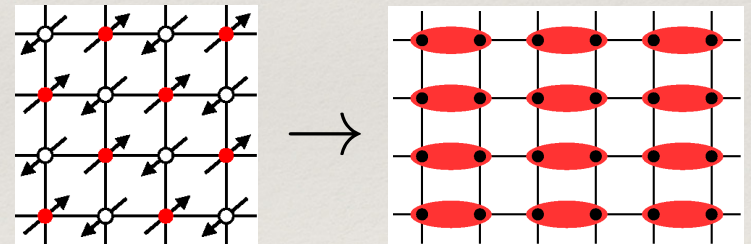
- we can construct models with products of singlet projectors
- no frustration in the conventional sense (QMC can be used)
- correlated singlet projection reduces the antiferromagnetic order



+ all translations
and rotations

The J-Q model with two projectors:

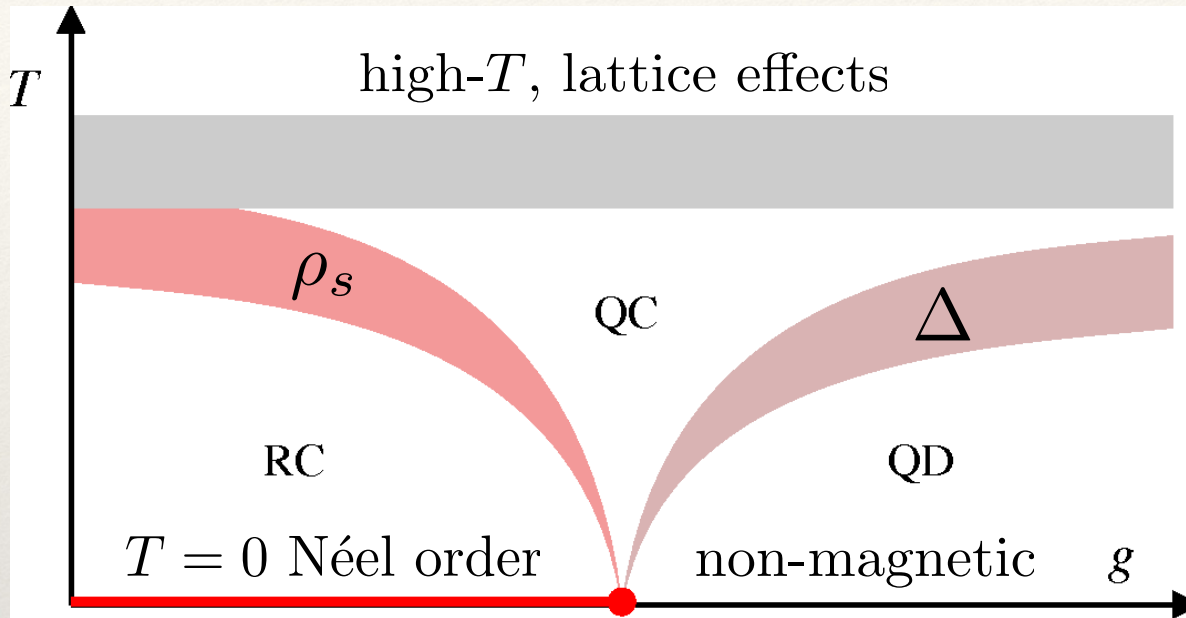
$$H = -J \sum_{\langle ij \rangle} C_{ij} - Q \sum_{\langle ijkl \rangle} C_{ij} C_{kl}$$



- Hosts Néel-VBS quantum phase transition, appears to be continuous
- Not a realistic microscopic model for materials
- “Designer Hamiltonian” for VBS physics and Néel-VBS transition
- Can mimic some aspects of conventional frustrated interactions

What's so special about quantum-criticality?

- large $T > 0$ quantum-critical “fan” where T is the only relevant energy scale
- physical quantities show power laws governed by the $T = 0$ critical point



2D Neel-paramagnet
“**cross-over diagram**”
[Chakravarty, Halperin,
Nelson, PRB 1988]

QC: Universal quantum
critical scaling regime

Changing T is changing the imaginary-time size L_τ :

- Finite-size scaling at g_c leads to power laws

$$\xi \sim T^{-1} \quad (\text{correlation length})$$

$$C \sim T^2 \quad (\text{specific heat})$$

$$\chi(0) \sim T \quad (\text{uniform magnetic susceptibility})$$

QMC needed to study large lattices; ground states, transitions, $T > 0$,...

- **to test predictions, discover new physics,...**

Deconfined quantum criticality

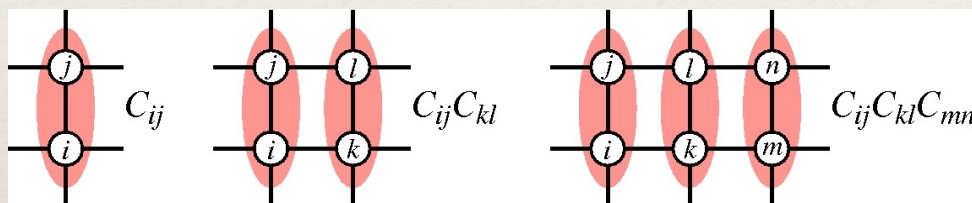
Senthil, Vishwanath, Balents, Sachdev, Fisher (Science 2004)

(+ many previous works; Read & Sachdev, Sachdev & Murthy, Motrunich & Vishwanath....)

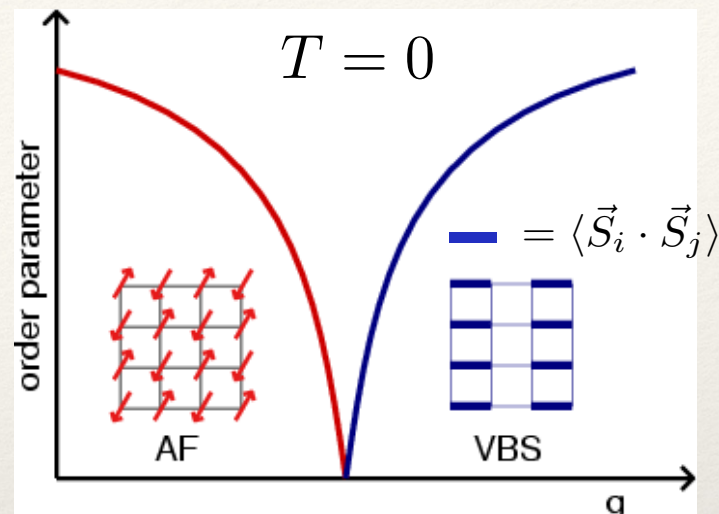
Continuous AF - VBS transition at T=0

- would be violation of Landau rule
- first-order would normally be expected
- role of topological defects

Numerical (QMC) tests using J-Q models



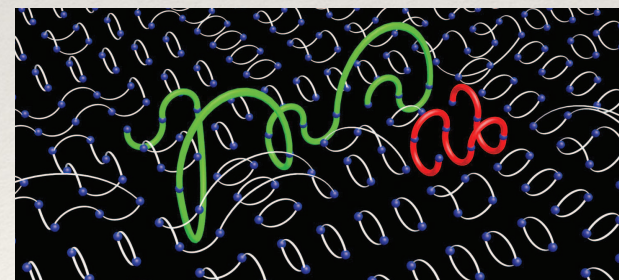
$$C_{ij} = \frac{1}{4} - \vec{S}_i \cdot \vec{S}_j$$



The “J-Q” model with two projectors (J-Q₂ model)

$$H = -J \sum_{\langle ij \rangle} C_{ij} - Q \sum_{\langle ijkl \rangle} C_{ij} C_{kl}$$

- Néel-VBS transition appears to be continuous
- Possibly very weakly first-order
- Ongoing studies (will be discussed), also J-Q₃ and ‘larger’ models
- Unusual scaling properties, spinons [Shao, Guo, Sandvik (Science 2016)]



Introduction to quantum Monte Carlo simulations of spin models

[arXiv:1101.3281](#) [[pdf](#), [ps](#), [other](#)] [cond-mat.str-el](#) [hep-lat](#) [doi](#)

Computational Studies of Quantum Spin Systems

[arXiv:1909.10591](#) [[pdf](#), [other](#)] [cond-mat.str-el](#)

Stochastic Series Expansion Methods

Path integrals on the lattice, imaginary time

We want to compute a thermal expectation value

$$\langle A \rangle = \frac{1}{Z} \text{Tr} \{ A e^{-\beta H} \}$$

where $\beta=1/T$ (and possibly $T \rightarrow 0$). How to deal with the exponential operator?

“Time slicing” of the partition function

$$Z = \text{Tr} \{ e^{-\beta H} \} = \text{Tr} \left\{ \prod_{l=1}^L e^{-\Delta_\tau H} \right\} \quad \Delta_\tau = \beta/L$$

Choose a basis and insert complete sets of states;

$$Z = \sum_{\alpha_0} \sum_{\alpha_1} \cdots \sum_{\alpha_{L-1}} \langle \alpha_0 | e^{-\Delta_\tau H} | \alpha_{L-1} \rangle \cdots \langle \alpha_2 | e^{-\Delta_\tau H} | \alpha_1 \rangle \langle \alpha_1 | e^{-\Delta_\tau H} | \alpha_0 \rangle$$

Use approximation for imaginary time evolution operator. Simplest way

$$Z \approx \sum_{\{\alpha\}} \langle \alpha_0 | 1 - \Delta_\tau H | \alpha_{L-1} \rangle \cdots \langle \alpha_2 | 1 - \Delta_\tau H | \alpha_1 \rangle \langle \alpha_1 | 1 - \Delta_\tau H | \alpha_0 \rangle$$

Leads to error $\propto \Delta_\tau$. Limit $\Delta_\tau \rightarrow 0$ can be taken

Trotter decomposition: error $\propto \Delta_\tau^2$

Trotter decomposition $e^{\Delta(A+B)} = e^{\Delta A} e^{\Delta B} + O(\Delta^2[A, B])$

Example: Heisenberg chain

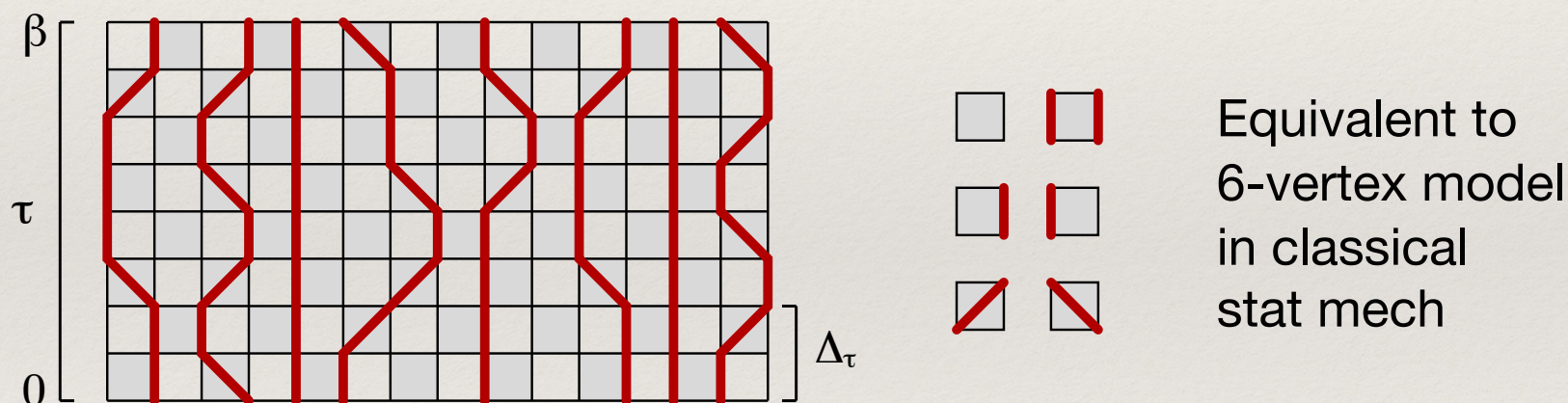
$$H = H_e + H_o, \quad H_e = \sum_{\text{even } i} \mathbf{S}_i \cdot \mathbf{S}_{i+1}, \quad H_o = \sum_{\text{odd } i} \mathbf{S}_i \cdot \mathbf{S}_{i+1}$$

All terms within H_e and H_o commute \rightarrow

$$e^{-\Delta\tau(H_e+H_o)} = \prod_{i=1,3,\dots} e^{-\Delta\tau \mathbf{S}_i \cdot \mathbf{S}_{i+1}} \prod_{i=2,4,\dots} e^{-\Delta\tau \mathbf{S}_i \cdot \mathbf{S}_{i+1}} + O(\Delta\tau^2)$$

Use in Z, insert complete sets of states between all exponentials

- graphical representation of terms; world lines



Looks like error should be $L\Delta\tau^2 \sim \beta\Delta\tau$

- actually $\sim \beta\Delta\tau^2$ because trace is taken

- procedure is equivalent to using higher-order Trotter decomposition

$$e^{-\Delta\tau(H_e+H_o)} = e^{-\Delta\tau H_e/2} e^{-\Delta\tau H_o} e^{-\Delta\tau H_e/2} + O(\Delta\tau^3)$$

Example of linear approximation and $\Delta\tau \rightarrow 0$: hard-core bosons

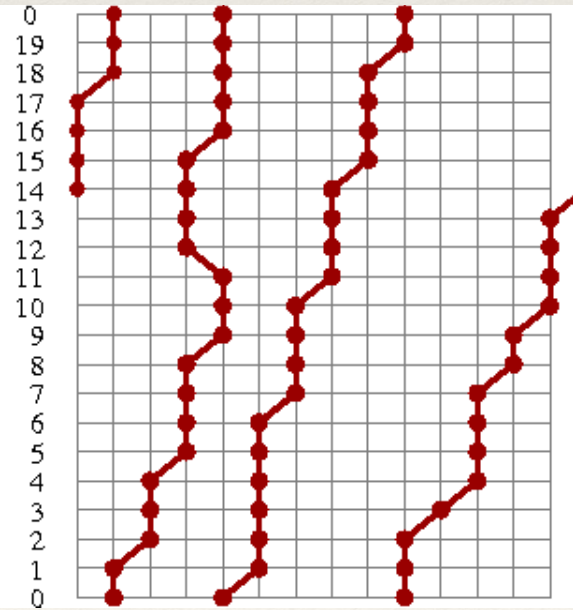
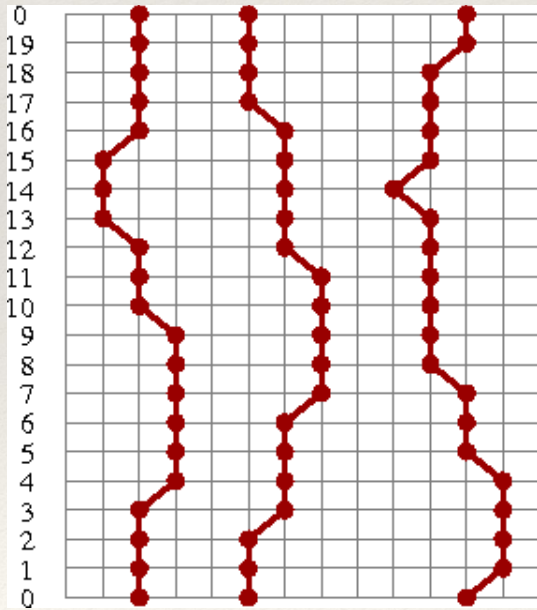
$$H = K = - \sum_{\langle i,j \rangle} K_{ij} = - \sum_{\langle i,j \rangle} (a_j^\dagger a_i + a_i^\dagger a_j) \quad n_i = a_i^\dagger a_i \in \{0, 1\}$$

Equivalent to S=1/2 XY model

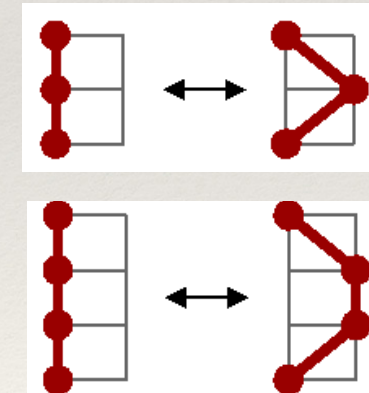
$$H = -2 \sum_{\langle i,j \rangle} (S_i^x S_j^x + S_i^y S_j^y) = - \sum_{\langle i,j \rangle} (S_i^+ S_j^- + S_i^- S_j^+), \quad S^z = \pm \frac{1}{2} \sim n_i = 0, 1$$

World line representation of

$$Z \approx \sum_{\{\alpha\}} \langle \alpha_0 | 1 - \Delta_\tau H | \alpha_{L-1} \rangle \cdots \langle \alpha_2 | 1 - \Delta_\tau H | \alpha_1 \rangle \langle \alpha_1 | 1 - \Delta_\tau H | \alpha_0 \rangle$$



world line moves for Monte Carlo sampling



$$Z = \sum_{\{\alpha\}} W(\{\alpha\}), \quad W(\{\alpha\}) = \Delta_\tau^{n_K}$$

n_K = number of "jumps"

Expectation values

$$\langle A \rangle = \frac{1}{Z} \sum_{\{\alpha\}} \langle \alpha_0 | e^{-\Delta\tau} | \alpha_{L-1} \rangle \cdots \langle \alpha_2 | e^{-\Delta\tau H} | \alpha_1 \rangle \langle \alpha_1 | e^{-\Delta\tau H} A | \alpha_0 \rangle$$

We want to write this in a form suitable for MC importance sampling

$$\langle A \rangle = \frac{\sum_{\{\alpha\}} A(\{\alpha\}) W(\{\alpha\})}{\sum_{\{\alpha\}} W(\{\alpha\})} \longrightarrow \langle A \rangle = \langle A(\{\alpha\}) \rangle_W$$

$$W(\{\alpha\}) = \text{weight}$$

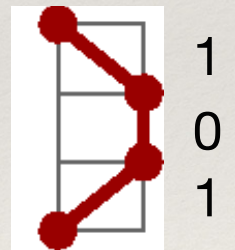
$$A(\{\alpha\}) = \text{estimator}$$

For any quantity diagonal in the occupation numbers (spin z):

$$A(\{\alpha\}) = A(\alpha_n) \quad \text{or} \quad A(\{\alpha\}) = \frac{1}{L} \sum_{l=0}^{L-1} A(\alpha_l)$$

Kinetic energy (here full energy). Multiply and divide by W,

$$K e^{-\Delta\tau K} \approx K \quad K_{ij}(\{\alpha\}) = \frac{\langle \alpha_1 | K_{ij} | \alpha_0 \rangle}{\langle \alpha_1 | 1 - \Delta\tau K | \alpha_0 \rangle} \in \left\{ 0, \frac{1}{\Delta\tau} \right\}$$



Average over all slices \rightarrow count number of kinetic jumps

$$\langle K_{ij} \rangle = \frac{\langle n_{ij} \rangle}{\beta}, \quad \langle K \rangle = -\frac{\langle n_K \rangle}{\beta} \quad \langle K \rangle \propto N \rightarrow \langle n_K \rangle \propto \beta N$$

There should be of the order βN “jumps” (regardless of approximation used)

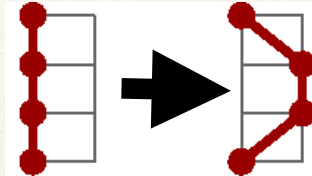
Including interactions

For any diagonal interaction V (Trotter, or split-operator, approximation)

$$e^{-\Delta\tau H} = e^{-\Delta\tau K} e^{-\Delta\tau V} + \mathcal{O}(\Delta\tau^2) \rightarrow \langle \alpha_{l+1} | e^{-\Delta\tau H} | \alpha_l \rangle \approx e^{-\Delta\tau V_l} \langle \alpha_{l+1} | e^{-\Delta\tau K} | \alpha_l \rangle$$

Product over all times slices \rightarrow

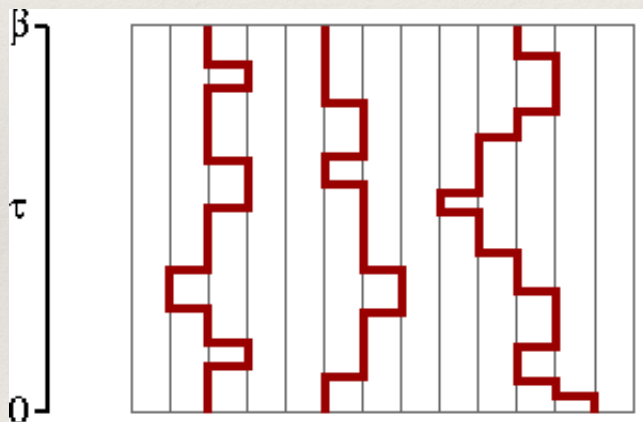
$$W(\{\alpha\}) = \Delta\tau^{n_K} \exp\left(-\Delta\tau \sum_{l=0}^{L-1} V_l\right)$$



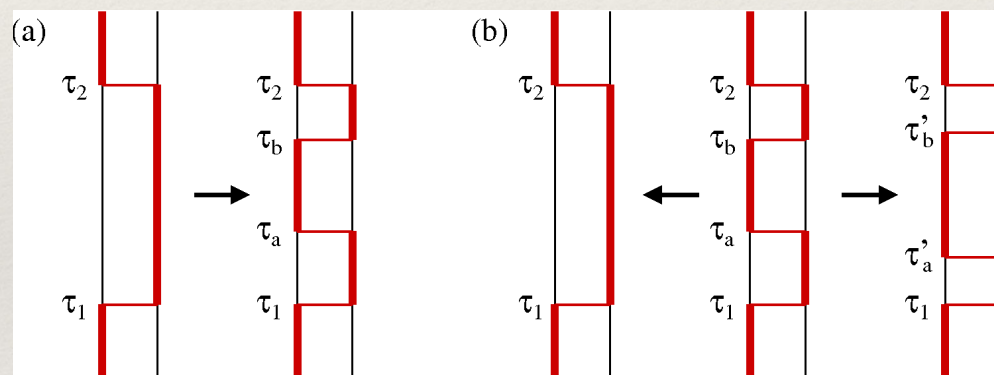
$$P_{\text{acc}} = \min\left[\Delta\tau^2 \exp\left(-\frac{V_{\text{new}}}{V_{\text{old}}}\right), 1\right]$$

The continuous time limit

Limit $\Delta\tau \rightarrow 0$: number of kinetic jumps remains finite, store events only



Special methods (**loop and worm updates**) developed for efficient sampling of the paths in the continuum



local updates (problem when $\Delta\tau \rightarrow 0$?)

- consider probability of inserting/removing events within a time window
- non-zero integrated probabilities for insertion at all times, choose random time.